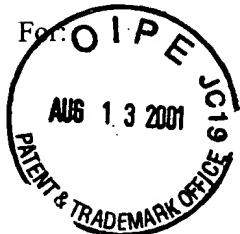


Receipt  
#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tung Quang Le, et al. ) Docket No.: 5624.3-1  
Application No.: 09/838,605 ) Examiner: TBD  
Filed: April 19, 2001 ) Art Unit: 2661  
OPTICAL LINK ADJACENCY )  
DISCOVERY PROTOCOL AND AN )  
OPTICAL NETWORK THEREFOR )



REQUEST TO CORRECT FILING RECEIPT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231  
Attention: Office of Initial Patent  
Examination's Customer Service Center

Dear Sir:

Attached is a copy of the official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application in which issuance of a corrected filing receipt is respectfully requested.

The last name of the first named inventor was omitted; a copy of the executed Declaration and Power of Attorney originally mailed to the USPTO on April 19, 2001 is enclosed. Please change the first named inventor as follows:

Tung Quang Le, Dallas, TX

The correction is not due to any error by Applicants and therefore no fee is due.

Respectfully submitted,



Wei Wei Jeang  
Reg. No. 33,305

Date: Aug. 8, 2001  
MUNSCH HARDT KOPF & HARR, P.C.  
4000 Fountain Place  
1445 Ross Avenue  
Dallas, Texas 75202-2790  
Telephone: 214-855-7510  
Facsimile: 214-855-7584

DALLAS 523655\_1 5624.3

<b>Certificate of Mailing</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, Washington, DC 20231, Attention: Office of Initial Patent Examination's Customer Service Center on the date shown below.	
<u>Vikki R. Colvin</u>	
Vikki R. Colvin	8-8-01
Date	



## UNITED STATES PATENT AND TRADEMARK OFFICE

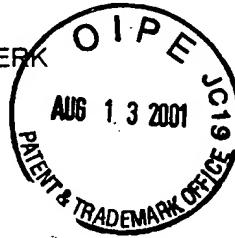
COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/838,605	04/19/2001	2661	355	5624.3-1	3	19	2

## CONFIRMATION NO. 3508

23559

MUNSCH, HARDT, KOPF & HARR, P.C.  
 INTELLECTUAL PROPERTY DOCKET CLERK  
 1445 ROSS AVENUE, SUITE 4000  
 DALLAS, TX 75202-2790



## FILING RECEIPT



\*OC000000006184680\*

Date Mailed: 06/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## RECEIVED

## Applicant(s)

Tung Quang, Dallas, TX;  
 James Alvah Spallin, Allen, TX;  
 Mark Frank Vanderburg, Plano, TX;

JUN 18 2001

M H K H &amp; D

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/14/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

DOCKETED: 5624.3-1  
 DATE: 6-19-01  
 BY: dmh

## Title

Optical link adjacency discovery protocol and an optical network therefor

Preliminary Class

370

---

Data entry by : TESFAYE, ALEM

Team : OIPE

Date: 06/14/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





## COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,  
CONTINUATION, OR C-I-P)

As a below named inventor, I hereby declare that:

### TYPE OF DECLARATION

This declaration is for an original application.

### INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

### TITLE OF INVENTION

Optical Link Adjacency Discovery Protocol and an Optical Network Therefor

### SPECIFICATION IDENTIFICATION

The specification is attached hereto.

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56, and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent.

## POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

APPOINTED PRACTITIONER(S)	REGISTRATION NUMBER(S)
Wei Wei Jeang	33,305
Marc A. Hubbard	32,506
Robin A. Brooks	44,563
Steven B. Leavitt	45,318
Anand Gupta	37 C.F.R. § 10.9(b)
Steven T. McDonald	45,999

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

---

### SEND CORRESPONDENCE TO

Wei Wei Jeang  
Munsch Hardt Kopf & Harr, P.C.  
4000 Fountain Place  
1445 Ross Avenue  
Dallas, TX 75202

Customer Number 23559

---

### DIRECT TELEPHONE CALLS TO:

Wei Wei Jeang  
214-855-7510

## DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Tung Quang Le

Inventor's signature

Date 4/18/01

Country of Citizenship United States of America

Residence Dallas, TX

Post Office Address 7723 Bantry Lane, Dallas, TX 75248 USA

James Alvah Spallin

Inventor's signature

Date 4/18/01

Country of Citizenship Canada

Residence Allen, TX

Post Office Address 719 Cottonwood Bend, Allen, TX 75002 USA

Mark Frank Vanderburg

Inventor's signature

Date 4/18/01

Country of Citizenship Canada

Residence Plano, TX

Post Office Address 2317 Eldger Drive, Plano, TX 75025 USA